

11-03-09

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UNITED STATES DEPARTMENT OF COMMERCE

The United States Patent and Trademark Office



Serial No.: 09/871,560  
Inventor: Daniel Alroy  
Filed: May 31, 2001

November 2, 2009  
Examiner: Jerry Lin  
Art Unit: 1631

Title: *Concepts and methods of identifying brain correlates of elementary mental states*

Commissioner for Patents  
Post Office Box 1450  
Alexandria, VA 22313-1450

Attention: Supervisory Patent Examiner Marjorie Moran

Dear Ms Moran:

I ask for your help in expediting the final determination of the status of claim 6. Following my meeting with Jerry Lin and Arvid Marschel in November 2004, the patent application was partitioned into two: The first part, represented by claim 6, relates to methods of identifying locus-specific brain cells the inactivation of which selectively eliminates behavioral response correlated with an elementary mental state. The second part, which relates to method of identifying the protein specificity of said locus-specific cells, is represented by the Continuation-in-Part application, and its PCT version.

Filing patent applications in key PCT countries involves expenditure of hundreds of thousands of dollars. I have planned to obtain private funding for that purpose. Naturally, prospective investors would like to know the final disposition of claim 6. For this reason I have put off soliciting funding until the status of claim 6 is finally determined. However, I understand that my deadline for proving PCT the list of countries where I would file for a patent is February 16, 2010. Thus, the time-window for securing the needed funds is about to close.

I would be grateful to you therefore if the determination of the matter is facilitated.

Respectfully,

[daniel\\_alroy@philon.net](mailto:daniel_alroy@philon.net)

Enc. Copies of my 10/15/09 fax and 10/22/09 letter to Mr. Lin

**Daniel Alroy \* Philon 19 Stanton Street, NY, NY 10002 \* 212 505-0110**



UNITED STATES DEPARTMENT OF COMMERCE  
**The United States Patent and Trademark Office**

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October 22, 2009  
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Title:

Concepts and methods of identifying brain correlates of elementary mental states

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Post Office Box 1450  
Alexandria, VA 22313-1450

Dear Mr. Lin:

I did not hear from you yet in response to my fax of October 15. Please find a copy enclosed in the event that the fax number used is incorrect, or for any other reason it did not reach you.

Respectfully,

A handwritten signature in cursive script that reads "Daniel Alroy".

daniel\_alroy@philon.net

Enc.

**Daniel Alroy \* Philon** 19 Stanton Street, NY, NY 10002 \* 212 505-0110



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**Fax: 1 571 273 2561**

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Dear Mr. Lin:

I plan to incorporate and seek private funding to meet the financial requirements of expanded patent-related work. On advice of potential investors I have put off doing so until the status of Claim 6 is finally determined.

I therefore would very much appreciate it if you could provide me with an estimate as to when this matter will be determined.

Respectfully,

A handwritten signature in cursive script that reads "Daniel Alroy".

daniel\_alroy@philon.net